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Atty Docket No. 18189K-013010US

PTO FAX NO.: 703-308-7751

OFFICIAL COMMUNICATION

FOR THE ATTENTION OF

OIPE Customer Service

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents in re Application of David K. Lewis, et al., Application No. 10/609,135, filed June 27, 2003 for SYSTEMS AND METHODS FOR SURFACE PASTEURIZATION OF PRODUCE are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

- 1. Form PTO/SB/21
- 2. Petition Under 37 CFR 1.181(a) to Withdraw Holding of Abandonment
- 3. Prosecution Docket Report
- 4. Copy of Notice of Abandonment mailed 10/19/2004
- 5. Transmittal Letter Response to Notice of Missing Parts
- 6. Form PTO/SB17 (in duplicate)
- 7. Declaration
- 8. Power of Attorney or Authorization of Agent
- 9. Statement under 37 CFR 3.73(b)
- 10. Copy of Assignment (not for recordation)
- 11. Two sheets formal drawings
- 12. Copy of Notice of Missing Parts mailed September 23, 2003

Number of pages being transmitted, including this page: 25

Dated: December 20, 2004

Karen B. Dow

Red Cit B. Box

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (415) 576-0300

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor

San Francisco, CA 94111-3834

Telephone: 858-350-6100; Fax: 415-576-0300

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PTO/SB/21 (09-04)

| | | | | F10/35/21 (00-04) |
|---------------------------------|---|--------|--|---|
| | | | Application Number | 10/609,135 |
| TR | ANSMITTAL | | Filing Date | June 27, 2003 |
| | FORM | | First Named Inventor | Lewis, David K. |
| | | | Art Unit | Not yet assigned |
| (to be used for | ell correspondence after initial fili | ng) | Examiner Name | Not yet assigned |
| | Pages in This Submission | 20 | Attorney Docket Number | 18189K-013010US |
| | | EN | | |
| | | ENG | CLOSURES (Check all that | apply) |
| Amendme A A Extension Express A | emittal Form ee Attached ent/Reply fiter Final ffidavits/declaration(s) of Time Request Abandonment Request on Disclosure Statement | | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Raply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Prosecution docket report, copy of Notice of Abandonment, Declaration, Power of Attorney, Statement under 37 CFR, 3.73(b), copy of Assignment, copy of Notice to File Missing Parts |
| Documen Reply to M Applicatio | Missing Parts/ Incomplete n eply to Missing Parts nder 37 CFR 1.52 or 1.53 | Rema | Account 20-1430. | ithorized to charge any additional fees to Deposit |
| | SIGNA | TURE (| OF APPLICANT, ATTORNE | Y, OR AGENT |
| Firm Name | Townsend and Towns | end an | d Crew LLP | |

| Date | December 20, 2004 | Reg. No. | 29,684 |
|---------------------------|---|-------------------------------|---|
| | CERTIFICATE | OF TRANSMISSION/MA | ILING |
| l hereby ce December 2 | ertify that this correspondence is being facsimile 20, 2004. | transmitted to the Patent and | Trademark Office, Fax No. (703) 308-7751 on |
| Signature | Juni | ly of Parley | |
| Typed or pr | Inted name Timothy S. Parker | | Date December 20, 2004 |

Babyat Dow

Signature

Printed name

Karen B. Dow

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office,

Attn: OIPE Customer Service

Fax No. 703-308-7751 on December 20, 2004

PATENT

Attorney Docket No.: 18189K-013010US

TOWNSEND and TOWNSEND and CREW LLP

By: Thursty S. Paulin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID K. LEWIS

Application No.: 10/609,135

Filed: June 27, 2003

For: SYSTEMS AND METHODS FOR SURFACE PASTEURIZATION OF

PRODUCE

Customer No.: 20350

Confirmation No.: 6736

Examiner: Not yet assigned

Technology Center/Art Unit: Not yet

assigned

PETITION UNDER 37 C.F.R. § 1.181(a)

TO WITHDRAW HOLDING OF

ABANDONMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attn: OIPE Customer Service

Sir:

Applicants respectfully petition under 37 C.F.R. § 1.181(a) and MPEP § 711.03 to withdraw the holding of abandonment for the above-referenced patent application.

As evidence, the following item is submitted herewith:

Exhibit A: A copy of the docket records of attorney for applicants for the period of November 20-26, 2003. The records indicate no activity for the above referenced patent application during this time.

Appl. No. 10/609,135 Petition dated December 20, 2004

<u>PATENT</u>

<u>REMARKS</u>

According to a Notice of Abandonment under 37 CFR 1.53(f) OR (g), mailed on October 19, 2004 and received by attorney for applicants, this application has been deemed abandoned, because no response to the Notice To File Missing Parts of Non-Provisional Application mailed September 23, 2003 has been received.

Applicants submit that the holding of abandonment is in error, because Applicants' attorney never received the Notice to File Missing Parts mailed September 23, 2003. Applicants' attorney has conducted a search of the file jacket of the present application and has discovered no indication that the aforementioned Notice to File Missing Parts was ever received. Pursuant to MPEP §711.03(c)(I)(A), a copy of the docket records (Exhibit A) for this application is submitted to indicate that the Office Action was not received. If the Notice to File Missing Parts mailed September 23, 2004 had been received, an entry would have been made in the docket records to reflect the due date of November 23, 2003 for responding to the Action, specifically between the "Due Date:" entries for "021284-003200US" and "14572P-060800US".

As such, Applicants hereby respectfully request grant of the petition and withdrawal of the holding of abandonment for the above-referenced patent application.

Applicants further state that the entire delay in filing the petition pursuant to 37 C.F.R. 1.137(b)(3), from the final due date for filing a response to the Notice to File Missing Parts mailed September 23, 2004, to the filing of a grantable petition, including the delay from the date of discovery of the abandonment of the application, was unintentional.

Applicants note that no petition fee is required. However, the Commissioner for Patents is authorized to charge any additional fees deemed necessary or credit overpayment to the deposit account 20-1430 of the undersigned.

Finally, Applicants submit as an attachment hereto an appropriate response to the aforementioned Notice to File Missing Parts, a copy of which was faxed to attorney for Applicant on December 2, 2004.

Appl. No. 10/609,135 Petition dated December 20, 2004 **PATENT**

If a telephone conference would expedite consideration of this matter, the Examiner is invited to telephone the attorney for applicant, Kevin T. LeMond, at (415) 576-0200.

Respectfully submitted,

Karen B. Dow Reg. No. 29,684

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 415-576-0200

Fax: 415-576-0300 Attachment: Exhibit A

KTL/tp 60370615 v1

EXHIBIT A

Prosecution Docket Report

Country: For US Matters
Start Date: 11/20/2003
End Date: 11/26/2003
Date Type:Both Due and Reminder Dates For: Kevin T. LeMond

| Date Client/Matter (Pat/TM) | , Action/Events Notes | Title/Mark Client | App - Reg No | Country | Bill - Resp Party |
|---|--------------------------|----------------------|------------------------|------------------------|---------------------|
| Due Date: 11/97/2003 | | | Filing - Issue Date | Status | Other Attys |
| 008822-000200US (TM) | | | 350493 1279283 | US | KTL - MB |
| Due Date: 11/22/2003 | | | | \dagger | BWS |
| 020952-000210US (Pat) | | | 10/647066 8/22/2003 | US | KTL - BNY BNY |
| , | | | | | |
| Due Date: 11/23/2003 021284-003200US (Pat) | | | 09/151881 6065230 | | MAS-KIC |
| | | | | Granted | FX. |
| Due Date: [1/24/2003 | | | 09/930122 | NS | * T. / A . T. / / 1 |
| | | | 8/13/2001 | Pending - Published | NIE-NIE |
| Due Dafe: 11/24/2003 14572P-060800US (Pat) | | | 09/930122 | US Pending | KTL-KTL |
| | | | 10075 | Published | |
| 015453-002210US (Pat) | | | 09/799922 | US | MAS-KTL |
| | | | 3/5/2001 | Pending | KTL |
| 020792-000110US (Pat) | | | 10/343862 | US | KTL - KTL |
| | + | | 8/26/2003 | Pending | |
| 020824-005500US (Pat) | | | 29/185379 | US | KTL - PRJ |
| | | | 6/26/2003 | Pending | PRJ |
| 14572P-002840US (Pat) | | | 196866/80 | US | KTL - KTL |
| - | -1 | | /661/67/71 | Pending | |



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMEDCE United States: Patent and Trudenmerk Office: Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigoria 22313-1430

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/609,135 06/27/2003

David K. Lewis

18189K-013010US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

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CONFIRMATION NO. 6736 ABANDONMENT/TERMINATION LETTER *OC000000014128147*

Date Mailed: 10/19/2004

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 09/23/2003,

No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Service Conter

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Notice of Abandonment

This application is abandoned in view of applicant's failure to timely file a proper reply to the Office notice mailed on 69-23-82

Pention to Withdraw the Holding of Abandonment

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item(s) identified in one of the following:

1. A properly itemized date-stamped postcard receipt (see MPEP § 503);

- 2 If the originally filed reply included a certificate of mailing or transmission in compliance with 3? CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP § 512); or
- If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CPR 1 10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513)

Any petition to withdraw the holding of abandonment should be transmitted by facsimile directly to OIPE Customer Service at (703) 308-7751.

Petition to Revive an Abandoned Application

If applicant did <u>not</u> previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by:

- 1. an adequate showing of the cause of unavoidable delay;
- 2. the required reply to the above-identified notice;
- 3. the petition fee set forth in 37 CFR 1.17(i); and
- 4. a terminal disclaimer if required by 37 CFR 1.137(d).

See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by:

- 1. a statement that the entire delay was unintentional;
- 2 the required reply to the above-identified notice;
- 3. the petition fee set forth in 37 CFR 1.17(m); and
- 4 a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to Office of Petitions at (703) 305-9282

Any questions regarding this notice should be directed to OIPE Customer Service at (703) 308-1202

Customer Service Center Initial Patent Examination Division (703) 308-1202